

**COPY OF PAPERS
ORIGINALLY FILED**

PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: The Rockefeller University

Application No./Patent No.: 09/802,755

Filed/Issue Date: March 9, 2001

Entitled: A Crystal of Bacterial Core RNA Polymerase with Rifampicin and Methods of Use Thereof

The Rockefeller University

a university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012017, Frame 0941, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

5 Feb 2002

Date

Kathleen A. Denis

Signature

KATHLEEN A. DENIS, Ph.D.
DIRECTOR OF TECHNOLOGY

For The Rockefeller University

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

MAR 4 2002

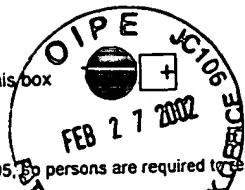
TECH CENTER 1600/2900

TECH CENTER 1600/2900

APR 26 2002

RECEIVED

Please type a plus sign (+) inside this box



COPY OF PAPERS
ORIGINALLY FILED

PTO/SB/82 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REVOCATION OF POWER OF
ATTORNEY OR
AUTHORIZATION OF AGENT

Application Number	09/802,755
Filing Date	March 9, 2001
First Named Inventor	Darst et al.
Group Art Unit	1641
Examiner Name	
Attorney Docket Number	IPT-012.01 (formerly 2555-1-001)

RECEIVED

MAR - 4 2002

TECH CENTER 1600
RECEIVED
APR 26 2002
TECH CENTER 1600/2900

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

A Power of Attorney or Authorization of Agent is submitted herewith.

OR

Please change the correspondence address for the above-identified application to:

Customer Number 25181

Place Customer
Number Bar Code
Label here

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
Address			
City			
Country	State	ZIP	
Telephone	Fax		

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.

Assignee of record of an undivided partial interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name	For, The Rockefeller University: KATHLEEN A. DENIS, Ph.D DIRECTOR OF TECHNOLOGY TRANSFER
Signature	
Date	5 Feb 2002
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.	
<input checked="" type="checkbox"/> Total of 2 forms are submitted.	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

Please type a plus sign (+) inside this box

O I P E
FEB 27 2002
U.S. Patent and Trademark Office

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

COPY OF PAPERS
ORIGINALLY FILED

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT

Application Number	09/802,755
Filing Date	March 9, 2001
First Named Inventor	Darst et al.
Group Art Unit	1641
Examiner Name	
Attorney Docket Number	IPT-012.01 (formerly 2555-1-001)

RECEIVED

MAR - 4 2002

TECH CENTER 1600/2900

I hereby appoint:

Practitioners at Customer Number

25181

Place Customer
Number Bar Code
Label here

OR

Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

The above-mentioned Customer Number.

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
Address			
City	State	ZIP	
Country			
Telephone	Fax		

I am the:

Applicant.

Assignee of record of the entire interest. See 37 CFR 3.71.

Assignee of record of an undivided partial interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	For The Rockefeller University:	KATHLEEN A. DENIS, Ph.D
Signature	Kathleen A. Denis	DIRECTOR OF TECHNOLOGY TRANSFER
Date	5 Feb 2002	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.
Submit multiple forms if more than one signature is required, see below*.

*Total of 2 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
APR 3 6 2002
TECH CENTER 1600/2900



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 01, 2001

PTAS

KLAUBER & JACKSON
DAVID A. JACKSON
411 HACKENSACK AVENUE, 4TH FLOOR
HACKENSACK, NEW JERSEY 07601



101795899A

COPY OF PAPERS
ORIGINALLY FILED

RECEIVED

MAR 4 2002

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9701. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/25/2001

REEL/FRAME: 012017/0941
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
DARST, SETH A.

DOC DATE: 07/13/2001

ASSIGNOR:
CAMPBELL, ELIZABETH

DOC DATE: 07/13/2001

ASSIGNEE:
ROCKEFELLER UNIVERSITY, THE
1230 YORK AVENUE
NEW YORK, NEW YORK 10021-6399

SERIAL NUMBER: 09802755
PATENT NUMBER:

FILING DATE: 03/09/2001
ISSUE DATE:

SEDLEY PYNE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECEIVED
TECH CENTER 1600/2900

APR 26 2002

RECEIVED
TECH CENTER 1600/2900

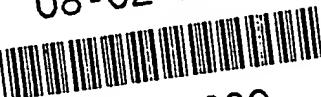
O I P E
FEB 21 2002
SCT106
U. S. PATENT & TRADEMARK OFFICE

COPY OF PAPERS
ORIGINALLY FILED

FORM PTO-1595
(Rev. 6/93)

REC

08-02-2001



101795899

To the Assistant Commissioner I

1. Name of conveying party(ies): *7-25-01*

Seth A. Darst and Elizabeth Campbell

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

3. Nature of conveyance:

[X] Assignment

[] Security Agreement

[] Merger

[] Change of Name

Other: _____

Execution Date: July 13, 2001 (respectively)

2. Name and address of receiving party(ies):

Name: The Rockefeller University

Address: 1230 York Avenue

New York, NY 10021-6399

Additional name(s) & address(es) attached? [] Yes [X] No

RECEIVED

MAR - 4 2002

TECH CENTER 1600/2900

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/802,755

B. Patent No.(s)

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Jackson

Address: KLAUBER & JACKSON

411 Hackensack Avenue, 4th Floor

Hackensack, New Jersey 07601

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

[X] Enclosed

[] Authorized to be charged to deposit account, if necessary - for overages or underpayments only

8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael D. Davis, Reg. No. 39,161
Name of Person Signing

Michael D. Davis

Signature

7/23/01

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

RECEIVED

APR 26 2002

TECH CENTER 1600/2900

08/01/2001 LMUELLER 00000119 09802755

01 FC:581

40.00

OP

Assistant Commissioner For Patents
Box Assignments
Washington, D.C. 20231

Attorney Docket No.: 2555-1-001

ASSIGNMENT

WHEREAS, WE,

Seth A. Darst, a citizen of the United States, residing at
500 East 63rd Street, Apt. 16J
New York, New York 10021; and

Elizabeth Campbell, a citizen of the United States, residing at
500 East 63rd Street, Apt. 16J
New York, New York 10021;

have invented certain new and useful improvements in

**A CRYSTAL OF BACTERIAL CORE RNA POLYMERASE WITH RIFAMPICIN
AND METHODS OF USE THEREOF**

for which we have filed an Application for Letters Patent in the United States on March 9, 2001, and was accorded U.S. Serial No. 09/802,755; and

WHEREAS,

The Rockefeller University,
an education corporation organized and existing under
the laws of the State of New York,
with office at 1230 York Avenue, New York, New York 10021-6399,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which is hereby acknowledged, we the said

Seth A. Darst and Elizabeth A. Campbell

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to the said

The Rockefeller University,

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted from said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United

RECEIVED
MAR - 4 2002
TECH CENTER 1600/2900

Attorney Docket No.: 2555-1-001

States and any official of any country or countries foreign to the United States, whose duty is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

The Rockefeller University,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

The Rockefeller University,

its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all truthful oaths and generally do everything possible to aid the said

The Rockefeller University,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Attorney Docket No.: 2555-1-001

Date 7/13/ 2001

Seith A. Darst L.S.

State of NY :
County of NY :

Before me this 3 day of June 2001, personally appeared Seih A. Darst, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

TERESA L. SOLOMON
Notary Public, State of New York
No. 31-498936
Qualified in New York County
Commission Expires Dec. 18 - 2008

Teresa Sol
NOTARY PUBLIC

(Affix Seal Here)

Date 7/13, 2001

Elizabeth Campbell L.S.

State of *N.Y.* :
County of *W.Y.* : ss.

Before me this day of 2001, personally appeared Elizabeth Campbell, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Teresa D.
NOTARY PUBLIC

(Affix Seal Here)

TERESA L. SOLOMON
Notary Public, State of New York
No. 31-4989836
Qualified in New York County
Commission Expires Dec. 16. 201